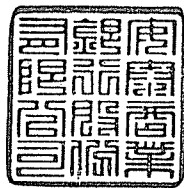


安泰商業銀行內部控制制度聲明書

謹代表安泰商業銀行聲明本銀行於 99 年 1 月 1 日至 99 年 12 月 31 日確實遵循「金融控股公司及銀行業內部控制及稽核制度實施辦法」，建立內部控制制度，實施風險管理，並由超然獨立之稽核部門執行查核，定期陳報董事會及監察人，兼營證券業務部分，並依據「證券暨期貨市場各服務事業建立內部控制制度處理準則」規定之內部控制制度有效性之判斷項目，判斷內部控制制度之設計及執行是否有效。經審慎評估，本年度各單位內部控制及法規遵循情形，除附表所列事項外，均能確實有效執行；本聲明書將成為本銀行年報及公開說明書之主要內容，並對外公開。上述公開之內容如有虛偽、隱匿等不法情事，將涉及證券交易法第二十條、第三十二條、第一百七十一條及第一百七十四條等之法律責任。

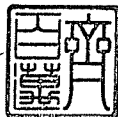
謹 致

行政院金融監督管理委員會



聲明人

董事長：



總經理：



總稽核：



總機構法令遵循主管：

陳敏晴



中 華 民 國 100 年 1 月 24 日

安泰商業銀行內部控制制度應加強事項及改善計畫
(基準日：99年12月31日)

應加強事項	改善措施	預定完成改善時間
<p>辦理自用住宅放款及消費性貸款,有已取得足額擔保,仍徵取共同借款人之情事。</p>	<p>本行前已依主管機關規定修訂辦理自用住宅放款及消費性貸款相關規定,並請各單位逐案檢視及補正。</p>	<p>已改善。</p>

EnTie Commercial Bank Internal Controls Pronouncement

On behalf of EnTie Commercial Bank, we declare that, for the period of January 1st, 2010 to December 31st, 2010, the Bank fully abided by the "Implementation Rules of Internal Audit and Internal Control System for Financial Holding Companies and Banks", and established a system of internal controls, implemented risk management, and conducted audits through a fully independent audit department, the results of which were reported at Board meetings and supervisors on a regular basis. In the securities business in which the Bank was concurrently engaged, the "Regulations Governing the Establishment of Internal Control Systems by Service Enterprises in Securities and Futures Markets", were used to determine the effectiveness of the design and implementation of the Bank's system of internal controls. Following a careful evaluation, the internal controls and regulatory compliance in respect of each of the Bank's departments during the current year, apart from the items that have been appended to this report, were found to have all been effectively implemented. This pronouncement will become a major part of the Bank's annual report and prospectus, and will be made public. Any false representation or concealment in this Statement shall be subjected to legal consequences as stipulated in Articles 20, 32, 171 and 174 of the Securities and Exchange Act.

The above pronouncement is hereby duly submitted to the Financial Supervisory Commission for record.

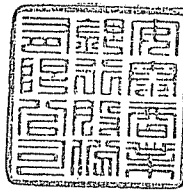
Spokesperson

Chairman:

President:

Head Auditor:

Head Office Chief Compliance Officer:



Date: 2011. 2. 24

EnTie Commercial Bank's System of Internal Controls: Improvements Needed to Be
Made and the Bank's Improvement Plan

(Base date: December 31, 2010)

Items for Improvement	Improvement Measures	Scheduled Improvement Deadline
In extending self-use residence loans or consumer loans, if the Bank has obtained sufficient collateral, the Bank shall not request the borrower to provide guarantor(s).	The Bank has revised the regulations on the self-use residence loans or consumer loans. Also related units were asked to review each case and make improvements if necessary.	The improvement has been accomplished.